

16085 U.S.PTO
02040431353 U.S.PTO
10/772893
020404**UTILITY PATENT APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attny Docket No. 008209/USA/FEP/GCM/RKK
(maximum 12 characters)First Named Inventor Pravin K. NarwankarTitle: TAILORING NITROGEN PROFILE IN SILICON OXYNITRIDE USING RAPID THERMAL ANNEALING WITH AMMONIA UNDER ULTRA-LOW PRESSUREExpress Mail Label No. EV341059105US

ADDRESS TO: Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. **Fee Transmittal Form (e.g., PTO/SB/17)**
(Submit an original and a duplicate for fee processing)
2. **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3. **Specification (Total Pages 30)**
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. **Drawings(s) (35 USC 113) (Total Sheets 6)**
5. **Oath or Declaration (Total Pages 4)**
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 18 completed)
 - i. DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
 - c. Unsigned.
6. **Application Data Sheet. (37 CFR 1.76)**
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. **Assignment Papers (cover sheet & documents(s))**
10. a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
 b. Power of Attorney
11. English Translation Document (if applicable)
12. a. Information Disclosure Statement (IDS)/PTO-1449 (or PTO/SB/08)
 b. Copies of IDS Citations
13. **Preliminary Amendment**
14. **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. **Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**
17A. Claim for Foreign Priority
17B. Other: _____

17C. Pursuant to 37 C.F.R. 1.136(a)(3), applicant(s) hereby request and authorize the U.S. Patent and Trademark Office to (1) treat any concurrent or future reply that requires a petition for extension of time as incorporating a petition for extension of time for the appropriate length of time and (2) charge all required fees, including extension of time fees and fees under 37 C.F.R. 1.16 and 1.17, to Deposit Account No. 02-2666.

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title (e.g., by way of preliminary amendment), or in an Application Data Sheet Under 37 C.F.R. 1.76:

Continuation Divisional Continuation-in-part (CIP)
Of Prior Application No.: _____ **Examiner** _____ **Group Art Unit** _____
(which is a continuation/ divisional/ CIP of prior application no. _____,
which is a continuation/ divisional/ CIP of prior application no. _____) (List entire chain of priority)

Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority.
For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. **Correspondence Address**
Customer Number or Bar Code Label
or _____
(Insert Customer No. or Attach Bar Code Label
here)

here) X NAME Correspondence Address Below
Mimi Diammy Doe

NAME Mimi Diemmy Dao
ADDRESS BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
10100 Wilshire Boulevard
Brentwood, CA 90049

ADDRESS 12400 Wilshire Boulevard

Seventh Floor **CITY** Los Angeles **STATE** California **ZIP CODE** 90025

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Name (PRINT/TYPE): Mimi Diemmy Da **Registration No.:** 45,628

Signature : Date : 5 February 4, 2004

FEE TRANSMITTAL FOR FY 2004

(FY 2004 Begins 10/01/2003)

TOTAL AMOUNT OF PAYMENT (\$) \$1158.00

Complete if Known:

Application No. Unassigned
 Filing Date Unassigned
 First Named Inventor Pravin K. Narwankar
 Examiner Name Unassigned
 Art Unit Unassigned
 Attorney Docket No. 008209/USA/FEP/GCM/RKK

Applicant claims small entity status. See 37 CFR 1.27.

METHOD OF PAYMENT (check all that apply)

Check Credit Card Money Order Other None

 Deposit AccountDeposit Account Number : 02-2666

Deposit Account Name: _____

 The Director is Authorized to do the following with respect to the above-identified Deposit Account:

Charge fee(s) indicated below.

 Credit any overpayments. Charge any additional fees during the pendency of this application. Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged. Charge fee(s) indicated below except for the filing fee.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee	Fee	Fee	Fee
Code	(\$)	Code	(\$)
1001	770	2001	385
1002	340	2002	170
1003	530	2003	265
1004	770	2004	385
1005	160	2005	80
		Utility application filing fee	<u>770.00</u>
		Design application filing fee	_____
		Plant filing fee	_____
		Reissue filing fee	_____
		Provisional application filing fee	_____

SUBTOTAL (1) \$ 770.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims	<u>32</u>	<u>- 20** = 12</u>	<u>X 18.00 = 216.00</u>
Independent Claims	<u>5</u>	<u>- 3** = 2</u>	<u>X 86.00 = 172.00</u>
Multiple Dependent			<u>= _____</u>

**Or number previously paid, if greater; For Reissues, see below.

Large Entity	Small Entity	Fee Description
Fee	Fee	Fee
Code	(\$)	Code
1202	18	2202 9
1201	86	2201 43
1203	290	2203 145
1204	86	2204 43
1205	18	2205 9
		Claims in excess of 20
		Independent claims in excess of 3
		Multiple dependent claim, if not paid
		**Reissue independent claims v r original patent
		**Reissue claims in xcess f 20 and v r riginal pat nt

SUBTOTAL (2) \$ 388.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

<u>Larg Entity</u>	<u>Small Entity</u>	<u>F D</u> <u>scription</u>	<u>Fee Paid</u>
F e	F e		
C de	C d		
1051	2051	Surcharge - late filing fee or oath	_____
1052	2052	Surcharge - late provisional filing fee or cover sheet	_____
1053	1053	Non-English specification	_____
1812	1812	For filing a request for ex parte reexamination	_____
1813	1813	Request for inter parties reexamination	_____
1804	1804	Requesting publication of SIR prior to Examiner action	_____
1805	1805	Requesting publication of SIR after Examiner action	_____
1251	2251	Extension for reply within first month	_____
1252	2252	Extension for reply within second month	_____
1253	2253	Extension for reply within third month	_____
1254	2254	Extension for reply within fourth month	_____
1255	2255	Extension for reply within fifth month	_____
1401	2401	Notice of Appeal	_____
1402	2402	Filing a brief in support of an appeal	_____
1403	2403	Request for oral hearing	_____
1451	1451	Petition to institute a public use proceeding	_____
1452	2452	Petition to revive – unavoidable	_____
1453	2453	Petition to revive - unintentional	_____
1501	2501	Utility issue fee (or reissue)	_____
1502	2502	Design issue fee	_____
1503	2503	Plant issue fee	_____
1460	1460	Petitions to the Commissioner	_____
1807	1807	Processing fee under 37 CFR 1.17(q)	_____
1806	1806	Submission of Information Disclosure Stmt	_____
8021	8021	Recording each patent assignment per property (times number of properties)	_____
1809	2809	For filing a submission after final rejection (see 37 CFR 1.129(a))	_____
1814	2814	Statutory Disclaimer	_____
1810	2810	For each additional invention to be examined (see 37 CFR 1.129(b))	_____
1801	2801	Request for Continued Examination (RCE)	_____
1802	1802	Request for expedited examination of a design application	_____
1504	1504	Publication fee for early, voluntary, or normal pub.	_____
1505	1505	Publication fee for republication	_____
1803	1803	Request for voluntary publication or republication	_____
1808	1808	Processing fee under 37 CFR 1.17(i) (except provisionals)	_____
1454	1454	Acceptance of unintentionally delayed claim for priority	_____

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (3) \$ 00.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: Minh Diemmy Dao

Signature: Minh Diemmy Dao Date: February 4, 2004

Reg. Number: 45,628 Telephone Number: (408) 720-8300

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450